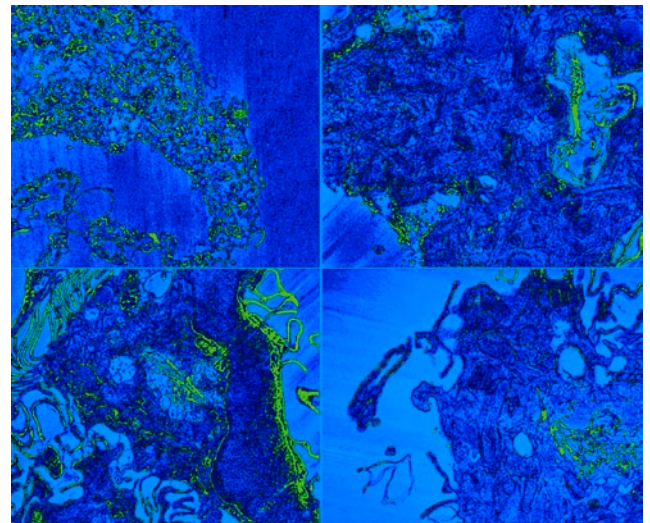


Environmental,
Health and
Safety Impacts of
Nanoparticles



**Environmental, Health and Safety
Impacts of Nanoparticles
February 2010**

Edition:

Observatoire des Micro et
NanoTechnologies
CEA-CNRS - UMS 2920
Minatec® - MMNT, 3 Parvis Louis Néel
38 054 Grenoble cedex 09
Tél. : +33 (0)4.38.78.20.70
Fax : +33 (0)4.38.78.24.21
E-mail : OMNT@cea.fr
<http://www.omnt.fr>

Publishing Director:

Stéphane Fontanell

Managing Editor:

Marie-Claire Toufektsian

Publishing Assistant:

Interligne

Printing:

PressVercors
France

N°ISSN en cours
Dépôt légal 1^{er} trimestre 2010

Cover Illustration:

Transmission Electron Microscopy images of (top left) a necrotic cell, (top right) phagocytosis of single wall carbon nanotubes (SWCNTs) coloured in green, and SWCNTs observed within (bottom left) lysosomes and (bottom right) cytoplasm. Reprinting with permission from ACS Nano 3(6), 1485 (2009). Copyright 2009 American Chemical Society.

To see also: "Imperial College of London: Characterization of carbon nanotubes in cells using HAADF-STEM and EELS mapping" on page 5.

**Reprinting and distribution
of this document are forbidden**

Thematics published in French by the OMNT:

Molecular Electronics
Materials and Devices for Optics
Energy
NanoComponents
NanoConstruction
Nanoparticles, Nanomaterials :
Effects on health and Environment
Nems
Bio-inspired Nanotechnologies
Spintronics & NanoMagnetism

Thematics published in English by the OMNT:

Micro Nano Systems for Biology
Organic Electronics
Environmental, Health and Safety Impacts of
Nanoparticles

Introduction

● Experts' Analysis

Detection & Characterization

Imperial College: Characterization of carbon nanotubes in cells using HAADF-STEM and EELS mapping 5

Epidemiology & Occupational Health

A first clinical report on serious lung damages possibly related to nanoparticle exposure

Capital Univ. of Medical Sciences: Severe pulmonary complications possibly due to occupational exposure to nanoparticles 7

IFA: Occupational exposure to nanoparticles. Criteria for assessing the effectiveness of protective measures 7

Toxicology

In vitro studies

Catholic Univ. of Leuven: Translocation of quantum dots through alveolar epithelium 11

McGill Univ.: Nanoparticles interfere with lipid metabolism 12

Bristol Southmead Hospital: CoCr particles can induce distant DNA damage via an ATP-dependant mechanism 13

Catholic Univ. of Leuven: How can experimental conditions influence effects of nanomaterials *in vitro*? 14

AIST: Impacts of protein adsorption on the toxicity of metal oxide nanoparticles 15

Dublin Inst. of Technology & Univ. of Salzburg: *In vitro* toxicity of carbon nanoparticles is highly influenced by sample preparation 16

In vivo studies

Univ. of California: Oral exposure to TiO₂ nanoparticles induces DNA damage in mice 17

Ecotoxicology

Plymouth Marine Lab.: Environmental impact of silver nanoparticles on estuarine sediments 18

Risk Assessment & Risk Management

Univ. of Bergen: Risk oriented characterization of nanoparticles 21

RIVM: Human health risk assessment of nanoparticles 21

Regulatory & Normative Aspects

Grenelle's Bill n°2: Regulation of nanoparticles and nanomaterials in the French law 23

● Index

Companies, organisations & experts quoted in this report 25

Nanoparticles may cause DNA damage from a distance

Nanotoxicological tests are highly influenced by experimental conditions

Introduction

*The present document is **an excerpt** of the first bi-annual report from the European Observatory on NanoSafety (EONS), a collective initiative supported by the European project “Risk Assessment of Engineered NanoParticles” (ENPRA).*

Gathering over 20 key experts in nanotechnology environment and safety research from the ENPRA consortium and from the Observatory for Micro & Nanotechnologies (OMNT), EONS features comments and reviews from the most recent trends and research progresses in the field of Nanosafety. From toxicology to legal aspects, a wide range of areas potentially impacted by nanoparticle safety issues are addressed by EONS.

The first EONS report summarizes the expert analyses and discussions developed during the 1st ENPRA Expert Panel Meeting, which has been held in Paris on November 26th, 2009. The present excerpt compiles 3 key information highlighted during the panel discussion.

Epidemiology & Occupational Health

Capital Univ. of Medical Sciences: Severe pulmonary complications possibly due to occupational exposure to nanoparticles

W. De Jong

A first clinical report on serious lung damages possibly related to nanoparticle exposure



While commercial applications using nanotechnologies are in constant progress, potential risks to workers, consumers and environment arising from nanomaterial exposure remain mostly unknown. So far, there is no clinical report in humans following long-term exposure to nanoparticles.

Published in the *European Respiratory Journal*, this study from the **Capital University of Medical Sciences of Beijing** reports the case of several Chinese women who developed severe respiratory complications possibly in relation to occupational exposure to nanoparticles. The women were working in an area with limited to no ventilation and they developed pulmonary symptoms after 5 to 13 months exposure. Seven workers were hospitalised with dyspnoea and two of them died of respiratory failure. Clinical evaluation showed shortness of breath and pleural effusions. In the pleural effusions and lung tissue 30 nm nanoparticles were observed by transmission electron microscopy (TEM). Immunological tests, bacteriology, virology and tumour markers were evaluated, and found to be mainly negative.

An evaluation of the actual working conditions was performed. Work comprised the use of a paste in a machine to air spray materials, heat and dry boards. The ventilation unit of the machine (a gas exhauster) broke down 5 months prior to the occurrence of the reported symptoms. Dust particles obtained from the exhauster were analysed and TEM evaluation of both paste and dust identified nanoparticles of 30 nm.

The present study is the first clinical report on severe respiratory toxicity possibly due to occupational exposure to locally generated nanoparticles. What was remarkable was the unconventional severity of the respiratory symptoms eventually leading to death of two persons. Such a severe lung injury has never been seen with an exposure to conventional nanoparticles only. The exposure occurred under a very low quality of occupational hygiene at the working place without any specific safety measures.

This report should be considered as a case report for possible induction of pulmonary disease by nanoparticles. It is possible that the symptoms in the patients were caused by the exposure to polyacrylate nanoparticles in view of the fact that nanoparticles were identified in the pathological lesions. Whether the particles themselves were responsible or a contributing factor is not known, as the authors did not publish a definitive characterization and comparison of both the nanoparticles identified in tissues and in the workplace. Additional (animal) studies would be needed for demonstration of such a cause-effect relationship.

Although the authors claimed that the cause of the respiratory symptoms and toxicity was due to the exposure of nanoparticles, this was not definitively demonstrated. However, it cannot be excluded either. But even when the exposure to the particulates in the air would be the cause for the respiratory symptoms, it has to be realised that the occupational conditions were very uncharacteristic and of very low hygienic quality. The observations itself warrant specific hygienic measures and conditions when working with paints and spray coating. However, the presence of such low quality occupational settings without any health or safety measures is exceptional and not common practice.

"Exposure to nanoparticles is related to pleural effusion, pulmonary fibrosis and granuloma";
Y. Song, X. Li, X. Du : *European Respiratory Journal* 34, 559 (2009).

EONS02-10-2

Toxicology

Bristol Southmead Hospital: CoCr particles can induce distant DNA damage *via* an ATP-dependant mechanism

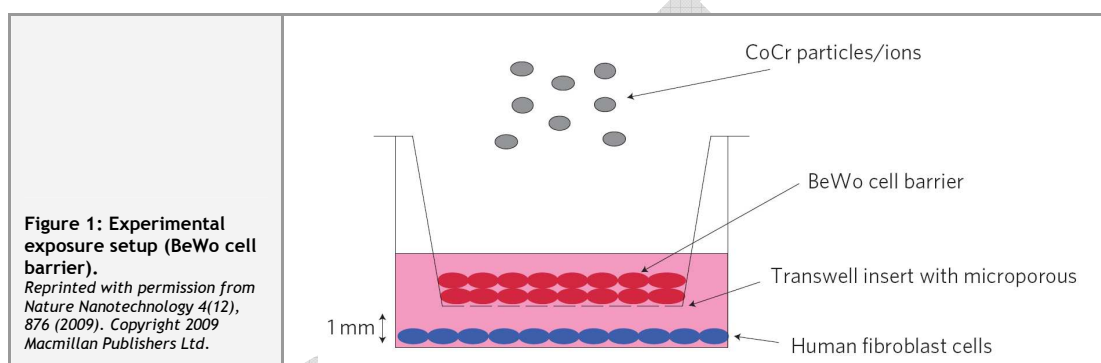
S. Loft

Nanoparticles may cause DNA damage from a distance



Internal exposure to cobalt-chromium (CoCr) nanoparticles is likely in humans following wear mechanisms of artificial orthopaedic joints. CoCr particles and ions are known to display cytotoxic and genotoxic effects. Remote effects of genotoxins have been previously described in the context of ionizing radiations.

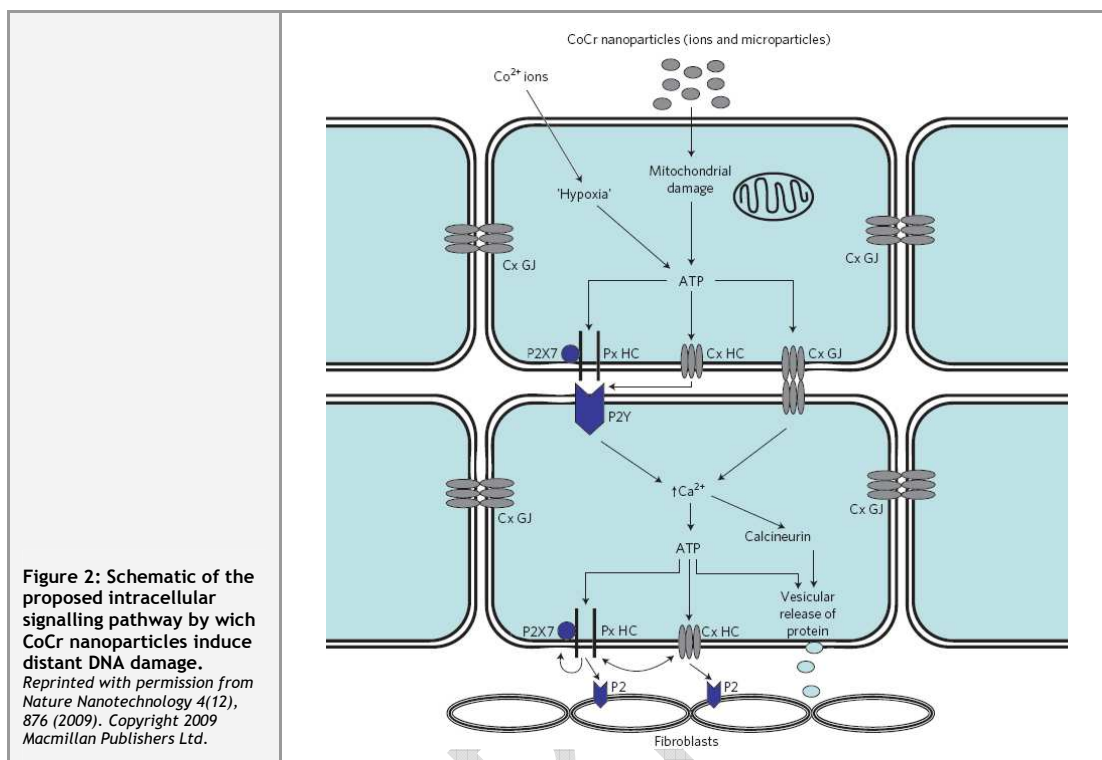
In the present study [1] performed by the **Bristol Southmead Hospital** in collaboration with the **University Hospitals Bristol NHS Foundation Trust**, the **Cardiff University**, the **University of Bristol** and the **Imperial College of London**, the authors show that CoCr nanoparticles can cause genotoxicity across a cellular barrier consisting in a tight layer of BeWo chorioncarcinoma cells, an *in vitro* model frequently used for studying the blood placenta barrier (Figure 1). Human fibroblasts were used as target cells and genotoxicity was assessed by measurements of DNA single and double strand breaks as well as chromosomal aberrations. Toxic effects were observed in fibroblast cells while nanoparticles were not found to cross the BeWo barrier.



As for the intracellular mechanisms of toxicity, the authors suggest that nanoparticles and/or Co^{2+} ions may affect mitochondrial metabolic activity and mimic hypoxic conditions in the top layer of the BeWo barrier (Figure 8). This would subsequently lead to intracellular release of ATP which may pass through connexin and pannexin hemichannels to act on P2Y receptors in the second layer. Alternatively, ATP may cross connexin gap junctions (CxGJ) to reach the underneath cell layer. Both pathways would result in intracellular Ca^{2+} rise in the second layer and subsequent ATP secretion, again via connexin and pannexin hemichannels. ATP may then cause DNA alteration to human fibroblasts beneath the barrier (via P2 receptors).

The study develops a new concept of distant effects induced by nanoparticles. The proposed cellular mechanism of genotoxicity involving ATP signalling through P2Y receptors or CxGJ (Figure 2) is close to the one described for the radiation-induced bystander effect [2]. This kind of remote (clastogenic) effect has been previously suggested following exposure to asbestos; it may however involve distinct cellular mechanisms [3]. DNA alterations were not specifically related to the "nano" size of the particles; micron sized particles had similar effects as described in the initial part of the study. However, the intracellular effects of micron sized particles were not fully investigated. On another hand, Co^{2+} can bind to proteins and may therefore have fewer effects in its free (ionic) form as compared with its (nano)particular form. The genotoxicity in the BeWo cell barrier and the time course of responses were not addressed. Moreover, it has been shown that particulate matter can impair gap junction communications [4].

In this experimental model, high doses of nanoparticles were used and such toxic effects may not occur in case of more realistic exposures (in terms of nanoparticle concentrations). At this step of the researches, the outcome of the present findings should not be rashly generalized to other situations (placenta or blood brain barriers) which are not relevant to potential nanoparticle exposure following joint replacement. Moreover, precautions should be taken with the concept of remote DNA damages since cellular mechanisms described in this model may have limited impact *in vivo* in terms of distance scale.



[1] "Nanoparticles can cause DNA damage across a cellular barrier"; G. Bhabra, A. Sood, B. Fisher, L. Cartwright, M. Saunders, W. H. Evans, A. Surprenant, G. Lopez-Castejon, S. Mann, S. A. Davis, L. A. Hails, E. Ingham, P. Verkade, J. Lane, K. Heesom, R. Newson, C.P. Case : *Nature Nanotechnology* 4(12), 876 (2009).
 [2] "Multiple bystander effect of irradiated megacolonies of melanoma cells on non-irradiated neighbours"; W.M. Przybyszewski, M. Widel, A. Szurko, B. Lubecka, L. Matulewicz, Z. Maniakowski, R. Polaniak, E. Birkner, J. Rzeszowska-Wolny : *Cancer Lett.* 214(1), 91 (2004).
 [3] "Formation of a clastogenic factor by asbestos-treated rat pleural mesothelial cells"; I. Emerit, M.C. Jaurand, L. Saint-Etienne, A. Levy : *Agents Actions* 34(3-4), 410 (1991).
 [4] "Loss of gap junctional intercellular communication in rat lung epithelial cells exposed to quartz particles"; N. Ale-Agha, C. Albrecht, L.O. Klotz : *Biochem Biophys Res Comm.* 390(1), 44 (2009).

EONS02-10-6

Catholic Univ. of Leuven: How can experimental conditions influence effects of nanomaterials *in vitro*?

P. Hoet

Recently, the number of scientific publications in the field of nanotoxicology has increased exponentially. However, reproducibility of published results is often difficult.

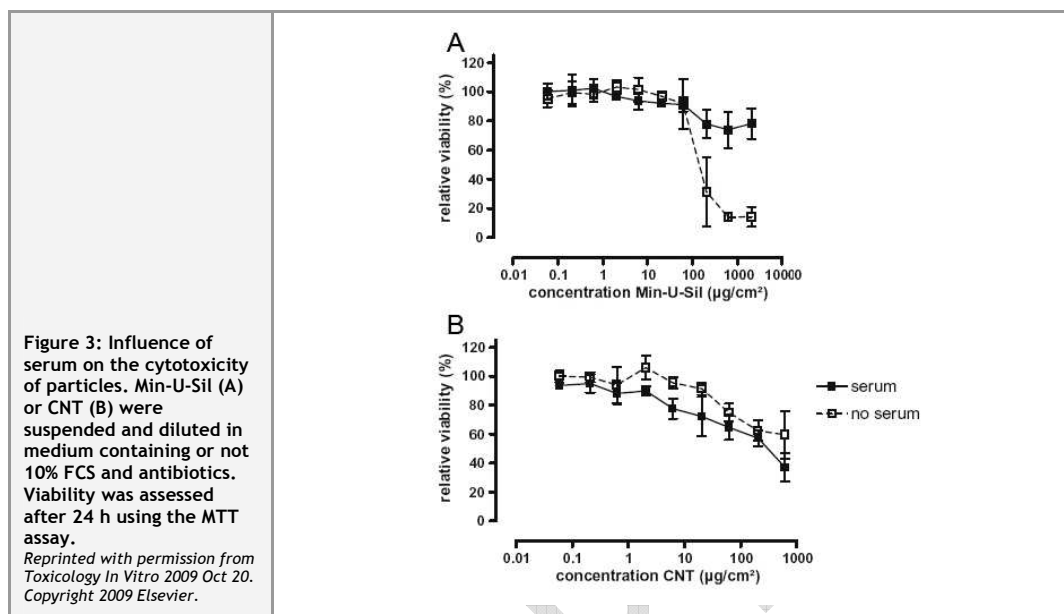
Nanotoxicological tests are highly influenced by experimental conditions



In this article from the **Catholic University of Leuven**, the influence of several steps of the experimental design on the outcome was investigated. The role of cell density and particle dispersion method were studied. The authors show that the cell density used in the cytotoxicity study has an impact on the results. Using A549-cells the viability varied from 10% to 55% with increasing cell density for the same concentration of Min-U-Sil (silica benchmark particles). As shown in Figure 3, addition of foetal calf serum (FCS 10%) in the culture medium attenuated the cytotoxicity of Min-U-Sil; however this effect was not observed for carbon nanotubes (CNT). When Tween 80 was used to disperse the particular test agent (CNT), there was an apparent interaction between the material and the dispersant.

As standard cytotoxicity assays for chemicals can generate conflicting results when applied to nanomaterials, it was already advised not to rely upon a single

cytotoxicity assay when assessing carbon nanomaterial toxicity. It has been previously reported that nanomaterials can interfere with the assay constituents.



Moreover, to form a reasonably stable suspension, different approaches have been already proposed in the general literature. Besides a detailed characterization of the materials, the authors of the present paper call for a better standardization of basic experimental conditions. They list and discuss items that should be included in the description of nanotoxicology experiments including:

- a detailed description of the experimental design;
- metrics used for particle exposure should include volume and growing area (surface area) of the wells (to allow comparison among studies);
- to determine whether the cell type used consists in actively dividing (e.g. A549 cells) or fully differentiated cells (e.g. stimulated THP-1 cells);
- the use of several methods for assessing cytotoxicity as well as appropriate controls (including for additives).

This research paper explored an interesting facet of nanotoxicity testing, and the authors tried to point out the gaps in performing hazard evaluation. Unfortunately, they did not show all these aspects from their own experiments; in fact they only presented a limited set of experiments and linked those to a broad set of guidelines. In order to prove all statements made in the discussion, a full project should be started on assay conditions in nanotoxicology. Therefore, future experiments will have to validate the strength of this methodological/opinion paper.

"Assay conditions can influence the outcome of cytotoxicity tests of nanomaterials: Better assay characterization is needed to compare studies"; J. Geys, B. Nemery, P.H. Hoet : *Toxicology In Vitro* in press (2009). DOI: 10.1016/j.tiv.2009.10.007.

EONS02-10-7

Companies, organisations & experts quoted in this report

ENPRA & OMNT Experts

Baeza A., 11, 12
 Bloch D., 7
 Bottero J.Y., 18
 De Jong W., 7
 Flahaut E., 5, 16
 Hoet P., 14
 Lacour S., 23
 Lison D., 15
 Loft S., 13
 Marcomini A., 21
 Schins R., 17

Experts/Other personalities

Allouni Z., 21
 Geys J., 11
 Horie M., 15
 Maysinger D., 12
 Trouiller B., 17

Universities & Research Centres

AIST, 15

Bristol Southmead Hospital, 13
 BSI, 8
 Capital Univ. of Medical Sciences of
 Beijing, 7
 Cardiff Univ., 13
 Catholic Univ. of Leuven, 11, 14
 Dublin Inst. of Technology, 16
 Haukeland Univ. Hospital, 21
 Hirosaki Univ. Graduate School of
 Medicine, 15
 IFA, 8
 Imperial College of London, 13
 McGill Univ., 12
 NIOSH, 8
 Plymouth Marine Lab., 18
 RIVM, 21
 Univ. Hospitals Bristol NHS Foundation
 Trust, 13
 Univ. of Bergen, 21
 Univ. of Bristol, 13
 Univ. of California - Los Angeles, 17
 Univ. of Salzburg, 16

EXCERPT

List of experts involved in

Environmental, Health and Safety Impacts of Nanoparticles

Rob AITKEN	IOM Edinburgh, United Kingdom	ENPRA
Karin ASCHBERGER	JRC Ispra, Italy	ENPRA
Armelle BAEZA	Univ. Paris 7, France	OMNT - ENPRA
Daniel BLOCH	CEA Grenoble, France	OMNT
Jean - Yves BOTTERO	CNRS – Univ. Paul Cézanne, France	OMNT
Emmanuel FLAHAUT	CNRS – Univ. Paul Sabatier, France	OMNT
Peter HOET	Univ. Leuven, Belgium	ENPRA
Marie - Claude JAURAND	INSERM, France	OMNT
Wim de JONG	RIVM, The Netherlands	ENPRA
Stéphanie LACOUR	CNRS, France	OMNT
Sophie LANONE	INSERM, France	OMNT
Dominique LISON	Univ. Louvain, Belgium	ENPRA
Steffen LOFT	Univ. Copenhagen	ENPRA
Antonio MARCOMINI	Univ. Venice, Italy	ENPRA
Maila PUOLAMAA	REACH Unit, European Commission	Invited Expert
Juan RIEGO-SINTES	JRC Ispra, Italy	ENPRA
Bryony ROSS	IOM Edinburgh, United Kingdom	ENPRA
Roel SCHINS	IUF Düsseldorf, Germany	ENPRA
Lang TRAN	IOM Edinburgh, United Kingdom	ENPRA
Jacques VENDEL	IRSN, France	OMNT